



11/19/03

PATENT  
MAIL STOP DD

2877

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: **Norman A. Gardner and Terry Stovold**

Serial No: **10/058,546**

Group Art Unit:

Filed: **January 28, 2002**

Examiner:

For: **Process for Blending of Ink Used in Counterfeit Detection Systems**

I CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED  
WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN  
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ON 9/4/03  
BY Richard A. Paikoff  
Richard A. Paikoff, Reg. No. 34,892

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**TRANSMITTAL LETTER**

Enclosed herewith is a Supplemental Information Disclosure Statement  
pursuant to 37 CFR. §1.56 in connection with the above-identified application, which  
statement is being filed:

☐ Together with the present application.

☒ Before the first Office Action on the merits or three (3) months  
from the filing date of this application, whichever occurs last. **[37 CFR § 1.97(b)]**

☐ After the first Office Action on the merits, but before a Final  
Office Action under §1.113 or Notice of Allowance under §1.311, whichever occurs  
first. **[37 CFR §1.97(c)]**. (Either the fee or certification is provided, as set forth  
below).

☐ After a Final Office Action under §1.113 or Notice of Allowance  
under §1.311, but prior to or with payment of the Issue Fee. **[37 CFR §1.97(d)]**. The  
fee and certification are provided, as set forth below).

Consistent with Applicant's obligations pursuant to 37 CFR §§1.97 and 1.98, the following requirements have been met:

☒ No separate requirements are needed.

☒ No additional fee is required.

**Fee Under 37 CFR § 1.97(c) or 1.97(d)**

☐ The fee of \$180.00 for submission of an IDS under §1.97(c) as set forth in §1.17(p) accompanies this statement.

**Certification Under 37 CFR § 1.97(e)**

The undersigned certifies:

☒ 1 that each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or

☐ 2 that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the information disclosure statement.

**Provision of Copies of References**

☒ A copy of each of the references listed on the attached Form PTO-1449 is enclosed herewith and forms a part hereof.

☐ A translation of Reference \_\_\_\_\_ is enclosed herewith and forms a part hereof.

☐ A copy of the German Search Report from a corresponding or related German application is enclosed herewith.

☒ A copy of the International Search Report from a corresponding or related PCT application is enclosed herewith.



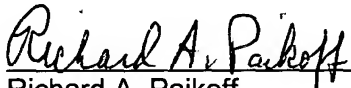
**Identification of Prior Application(s) In Which Listed Information Was Already Cited And For Which No Copies Are Submitted Or Not Submitted**

☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior U.S. Application No(s). \_\_\_\_\_, filed \_\_\_\_\_. The following references were submitted to, and/or cited by, the Office in the prior application(s) and therefore are not required to be provided in this application:

☒ The Commissioner is hereby authorized to charge any fees associated with this communication or credit any overpayment to Deposit Account No. 04-1679.

Respectfully submitted,

Date: 9/4/03

  
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Attorney Docket No.: D8357-00007



7PTO/SB/08A (10-96)

Patent and Trademark Office: U.S. Department of Commerce

Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)  Sheet 1 of 1	ATTY. DOCKET NO. D8357-00007	APPLICATION NO. 10/058,546
	FIRST NAMED APPLICANT Gardner	EXAMINER Not assigned
	FILING DATE January 28, 2002	GROUP:

**U.S. PATENT DOCUMENTS**

EXAMINER INITIALS	Cite No.	DOCUMENT NUMBER	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
	AA	6,245,711	Halbrook, Jr.		
	AB	6,061,122	Hoshino, et al.		
	AC	5,883,043	Halbrook, Jr., et al.		

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIALS	Cite No.	Office	DOCUMENT NUMBER	COUNTRY	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T

**OTHER DOCUMENTS**

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.	T
	AD	Copy of International Search Report	
EXAMINER			DATE CONSIDERED
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.			

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Patent and Trademark Office: U.S. Department of Commerce